



Muirfield Wealth Management Group

The knowledge to advise. The wisdom to lead.



Specialized Services for Select Clients—
A UBS Financial Services Inc. “Signature Team”



Corporate Capabilities at UBS

UBS is a leading global financial firm. Headquartered in Zurich and Basel, Switzerland, UBS has offices in more than 50 countries, including all major financial centres, and employs approximately 65,000 people. UBS AG is the parent company of the UBS Group of companies (the "UBS Group"). The operational structure of the Group comprises the Corporate Centre and four business divisions: Wealth Management & Swiss Bank, Wealth Management Americas, Global Asset Management and the Investment Bank.

With more than 150 years of wealth management expertise at its core, and drawing upon an integrated mix of investment banking and asset management businesses, UBS focuses on providing relevant guidance, differentiated global perspectives and diverse strategies and solutions for our corporate and institutional clients worldwide. At the core of our corporate capabilities is the Investment Bank.

UBS Investment Bank has a commanding view of the global financial markets and an expert understanding of what's driving investment trends. By leveraging its multiple information channels, we can access up-to-the-minute market data from both inside and outside of UBS, providing our clients with the most comprehensive view

of securities and other financial products and research in equities, fixed income, rates, foreign exchange and precious metals.

The success of the UBS Investment Bank comes from understanding your business, and tailoring solutions around your needs. These include:

- IPOs
- Mergers and Acquisitions
- Debt Restructuring
- Private Equity Fundraising
- Real Estate Financing
- Share Repurchasing
- Concentrated Stock Solutions
- Employee Stock Ownership Plans (ESOP)
- 10b5-1 Plans
- Cash Management
- Corporate Sponsored Financial Planning
- Foundation and Philanthropy Strategies
- Succession Planning
- Securities Based Lending

While our scale is global, our service is always personal. Consider our vast capabilities as a resource to help solve your corporate needs.



A Comprehensive Approach for Our Corporate Clients

The Muirfield Wealth Management Group at UBS is deeply committed to cultivating and fostering close, long-lasting relationships with our corporate clients. With direct access to the capabilities and resources of one of the world's largest wealth management firms, we offer a comprehensive approach and proven tactics for corporate needs. Our process for creating relevant financial solutions is built on a patient understanding of your corporate financial goals, the knowledge and expertise to offer practical and relevant solutions, and the wisdom to help you make the right decisions.

As a UBS Financial Services Inc. "Signature Team", we enjoy connections to a broad network of internal and external partnerships to deliver the following services:

- 401(k) and Profit Sharing Plans
- Defined Benefit Plans
- Non-Qualified Deferred Compensation
- Executive Financial Planning
- Investment Banking Solutions
- Corporate Cash Management
- Stock Options and Stock Purchase Plans
- Corporate 529 College Savings
- Employee Education Programs
- Business Succession Planning

The Competitive Edge for Corporate Employee Plans

Today, as we face uncertain markets and a new financial reality, the Muirfield Wealth Management Group at UBS is the team to turn to for financial guidance specifically oriented to corporate retirement plans and the employees they benefit. A successful plan is the result of close collaboration between partners. By working with plan providers, sponsors and third-party administrators, we offer a well-informed approach and proven tactics that help keep you and your employees on track to reaching your financial goals.

We recognize the opportunities and challenges inherent in corporate plans and realize that successful qualified and non-qualified plans must satisfy your needs, as well as those of your employees. Companies must navigate a maze of legal and regulatory requirements and invest considerable resources in order to provide an attractive and cost-effective employee plan.

We offer a competitive edge by bringing wise advice and comprehensive support to help design your plan, handle your fiduciary concerns, gain the most from the plan provider, and serve as an advocate for your company. We're committed to always being accountable; the depth of our group and the strength of UBS ensure it.

“As experienced Advisors to companies sponsoring retirement plans for their employees, we understand the responsibilities you face as a fiduciary. Our expert guidance can help you stay current and in compliance while you and your employees get the most out of a highly competitive plan.”

– Ozzie I. Fishman

Exemplary Service and Plan Support

Combining the exemplary, concierge service you would expect from a family office with the financial accountability of a fiduciary, we are always uncovering innovative ways to deliver more to our corporate clients. As independent, unbiased advisors, we are focused on matching the right plan provider to your employee needs or getting the most from your current provider. As we help you develop your plan, we communicate regularly and track its performance, making adjustments for plan changes and economic conditions.

We believe information is most useful when it provides context and empowers your employees to make informed and confident decisions. We want plan sponsors and participants to be engaged in the process in an efficient and time-conscious manner. That’s why we provide cost-effective educational opportunities and informative materials, addressing such topics as tax optimization, risk management and asset allocation strategies. We’re also there to support your plan staff, keeping them apprised of industry and regulatory developments.

Advice you can trust starts with a conversation.

*A single point of contact,
backed by a team of experts.*

In order to provide you with the highest quality of service as your retirement plan advisor, the Muirfield Wealth Management Group is committed to being a valued source of information to plan sponsors, fiduciaries, participants and employees. We provide:

For Plan Sponsors and Fiduciaries

- Plan Assessment
- Investment Menu Review
- IPS Review
- Performance Review
- Service/Fee Inventory
- Investment Committee Review
- Education Review

For Participants and Employees

- Enrollment Meetings
- Education Seminars

For Human Resources

- ERISA 404(c) Disclosure Review
- Support for Plan Participants
- Investment Education Materials



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Important Information about Advisory & Brokerage Services

It is important that you understand the ways in which UBS Financial Services Inc. (UBS) conducts business and the applicable laws and regulations that govern the Firm. As a firm providing wealth management services to clients in the U.S., UBS is registered with the U.S. Securities and Exchange Commission (SEC) as an investment adviser and a broker-dealer, offering both investment advisory and brokerage services. Though there are similarities among these services, the investment advisory programs and brokerage accounts UBS offers are separate and distinct, differ in material ways and are governed by different laws and separate contracts. It is important that you carefully read the agreements and disclosures UBS provides to you about the products or services offered. While UBS strives to ensure that these materials clearly describe the nature of the services provided, please do not hesitate to contact your Financial Advisor team, Muirfield Wealth Management Group, if you would like clarification on the nature of your accounts or services you receive from us. For more information, please visit our website at ubs.com/workingwithus. Neither UBS Financial Services Inc. nor any of its employees provide legal or tax advice. You should consult with your personal legal or tax advisor regarding your personal circumstances. **UBS Financial Services Inc. is a subsidiary of UBS AG.** ©2011 UBS Financial Services Inc. All rights reserved. Member SIPC. BRO_8.5x11_JP1101_MuirfieldCorp_v6