UBS

T. Craig Mills

The Mossa Group

Vice President–Investments
Wealth Advisor

About Craig

- More than 10 years of financial services experience
- Provides a wide array of wealth management services, including strategic retirement and investment planning and asset allocation.
- Active in the local community, including supporting and working with local charitable organizations

Craig is one of a small number of UBS Financial Advisors who have earned the designation of Wealth Advisor. Craig is eminently qualified to provide highly personalized wealth management services to his clients.

Wealth management is far more than just the wide range of financial services and solutions you can access at UBS. It is how those services are developed and delivered to help you pursue your goals. That's why Craig offers a customized approach to wealth management, built on personal relationships and shaped by an understanding of your needs and aspirations. To help manage your wealth, he calls upon the advisory and investment brokerage capabilities of UBS—one of the world's largest wealth management firms.

Craig began his financial services career in 1992 and has been with UBS Financial Services Inc. since 1995. As Vice President–Investments, Craig leverages his knowledge of and experience with money management strategies, estate planning strategies and insurance services to provide his clients with an in-depth range of financial solutions. Most recent accomplishments include the management and implementation of a directed share program during the initial public offering of a Denver-based corporation. Additionally, Craig and the Mossa Group have helped coordinate trading strategies pursuant to SEC Rule 10b5-1. The Mossa Group currently manages more than $195 million for individuals, corporations and nonprofit organizations.

Craig earned a B.A. in liberal arts from James Madison College at Michigan State University and has completed the requirements for an additional major in economics. He holds Series 7, 63 and 65 securities licenses as well as insurance licenses.

Craig is active in the community through his commitment to charity and currently serves as President of the board of directors of the Dumb Friends League in Denver. He has served on the board of directors for Denver Kids, Inc. for six years including the role of president. Craig recently joined the board of directors for the Denver Montclair International School and is a board member of the Animal Assistance Foundation. He is also a past President of the Denver Active 20-30 Children's Foundation and currently serves in an advisory capacity on its grants review committee. For three consecutive years, Craig was chairman for the Annual Denver Polo Classic, a charity event that has raised more than $4 million for Colorado children's charities. Craig is a member of Denver Rotary and has previously served on the board of the NBA Colorado Christian Home Tennyson Center.

Please read important disclosures on reverse.

About UBS

UBS is one of the world's leading financial firms, serving a discerning international client base. Its business, global in scale, is focused on growth. UBS is also the leading global wealth manager, a leading global investment banking and securities firm, and one of the largest global asset managers.

As an integrated firm, UBS creates added value for clients by drawing on the combined resources and expertise of all its businesses to provide appropriate solutions to address clients' needs. From investment banking to asset management services, our Wealth Advisors can utilize a broad array of financial services to help their clients manage their wealth and pursue their financial goals.

UBS Financial Services Inc.

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Important information about Advisory & Brokerage Services
It is important that you understand the ways in which UBS Financial Services Inc. (UBS) conducts business and the applicable laws and regulations that govern the firm. As a firm providing wealth management services to clients, UBS is registered with the U.S. Securities and Exchange Commission (SEC) as an investment adviser and a broker-dealer, offering both investment advisory and brokerage services. Though there are similarities among these services, the investment advisory programs and brokerage accounts UBS offers are separate and distinct, differ in material ways and are governed by different laws and separate contracts.

It is important that you carefully read the agreements and disclosures UBS provides to you about the products or services offered. While UBS strives to ensure that these materials clearly describe the nature of the services provided, please do not hesitate to contact your Wealth Advisor, Craig Mills, if you would like clarification on the nature of your accounts or services you receive from us.

For more information, please visit our website at **ubs.com/workingwithus.**